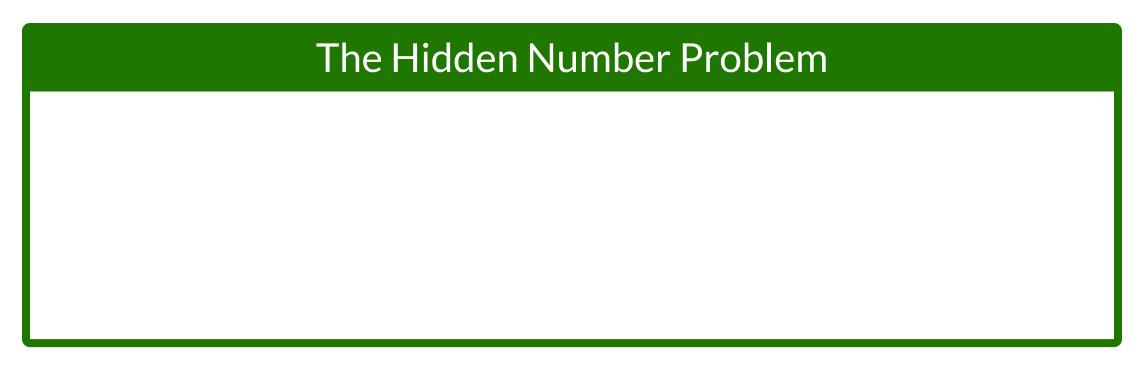
# Formalizing the Hidden Number Problem in Isabelle/HOL

Sage Binder

University of Iowa

2025-09-29

Joint work with Eric Ren and Katherine Kosaian



Introduced by Boneh and Venkatesan in 1996.

- Introduced by Boneh and Venkatesan in 1996.
  - Originally for DH bit-security.

- Introduced by Boneh and Venkatesan in 1996.
  - Originally for DH bit-security.
  - Now an important paradigm in cryptography.

- Introduced by Boneh and Venkatesan in 1996.
  - Originally for DH bit-security.
  - Now an important paradigm in cryptography.
  - Many variants for different protocols.

- Introduced by Boneh and Venkatesan in 1996.
  - Originally for DH bit-security.
  - Now an important paradigm in cryptography.
  - Many variants for different protocols.

#### Broad idea

- Introduced by Boneh and Venkatesan in 1996.
  - Originally for DH bit-security.
  - Now an important paradigm in cryptography.
  - Many variants for different protocols.

#### Broad idea

• Suppose  $\mathcal{O}(t)$  yields some information about  $\alpha t \mod p$ .

- Introduced by Boneh and Venkatesan in 1996.
  - Originally for DH bit-security.
  - Now an important paradigm in cryptography.
  - Many variants for different protocols.

## Broad idea

- Suppose  $\mathcal{O}(t)$  yields some information about  $\alpha t \mod p$ .
- Can we recover  $\alpha$  given access to  $\mathcal{O}$ ?

- Introduced by Boneh and Venkatesan in 1996.
  - Originally for DH bit-security.
  - Now an important paradigm in cryptography.
  - Many variants for different protocols.

#### Broad idea

- Suppose  $\mathcal{O}(t)$  yields some information about  $\alpha t \mod p$ .
- Can we recover  $\alpha$  given access to  $\mathcal{O}$ ?
- Yes, with high probability.

- Suppose  $\mathcal{O}(t)$  yields some information about  $\alpha t \mod p$ .
- Can we recover  $\alpha$  given access to  $\mathcal{O}$ ?
- Yes, with high probability.

- Suppose  $\mathcal{O}(t)$  yields some information about  $\alpha t \mod p$ .
- Can we recover  $\alpha$  given access to  $\mathcal{O}$ ?
- Yes, with high probability.

#### Talk outline

Brief DH review

- Suppose  $\mathcal{O}(t)$  yields some information about  $\alpha t \mod p$ .
- Can we recover  $\alpha$  given access to  $\mathcal{O}$ ?
- Yes, with high probability.

- Brief DH review
- Intuition DH to HNP reduction

- Suppose  $\mathcal{O}(t)$  yields some information about  $\alpha t \mod p$ .
- Can we recover  $\alpha$  given access to  $\mathcal{O}$ ?
- Yes, with high probability.

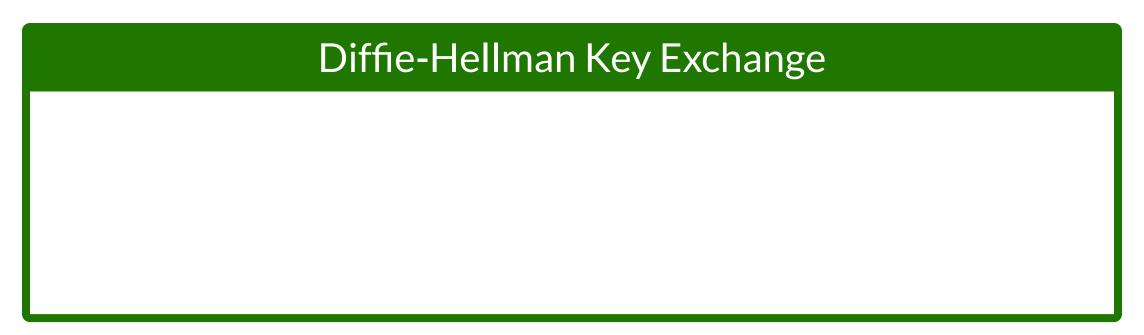
- Brief DH review
- Intuition DH to HNP reduction
- HNP formal statement

- Suppose  $\mathcal{O}(t)$  yields some information about  $\alpha t \mod p$ .
- Can we recover  $\alpha$  given access to  $\mathcal{O}$ ?
- Yes, with high probability.

- Brief DH review
- Intuition DH to HNP reduction
- HNP formal statement
- High-level proof idea

- Suppose  $\mathcal{O}(t)$  yields some information about  $\alpha t \mod p$ .
- Can we recover  $\alpha$  given access to  $\mathcal{O}$ ?
- Yes, with high probability.

- Brief DH review
- Intuition DH to HNP reduction
- HNP formal statement
- High-level proof idea
- Formalization details



• Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).

- Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).
- Goal: establish shared secret between A lice and B ob.

- Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).
- Goal: establish shared secret between A lice and B ob.
- Idea:  $g^{ab}$  hard to compute from  $g^a$  and  $g^b$

- Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).
- Goal: establish shared secret between A lice and B ob.
- Idea:  $g^{ab}$  hard to compute from  $g^a$  and  $g^b$

- Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).
- Goal: establish shared secret between  $m{A}$  lice and  $m{B}$  ob.
- Idea:  $g^{ab}$  hard to compute from  $g^a$  and  $g^b$

- Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).
- Goal: establish shared secret between A lice and B ob.
- Idea:  $g^{ab}$  hard to compute from  $g^a$  and  $g^b$

Alice	Adversary	Bob
$oldsymbol{g}$	$oldsymbol{g}$	$\boldsymbol{g}$
$\boldsymbol{a}$		b

- Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).
- Goal: establish shared secret between A lice and B ob.
- Idea:  $oldsymbol{g^{ab}}$  hard to compute from  $oldsymbol{g^a}$  and  $oldsymbol{g^b}$

<i>A</i> lice	Adversary	Bo
$oldsymbol{g}$	$oldsymbol{g}$	g
$\boldsymbol{a}$		$\boldsymbol{b}$
$oldsymbol{g^a}$		

- Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).
- Goal: establish shared secret between A lice and B ob.
- Idea:  $g^{ab}$  hard to compute from  $g^a$  and  $g^b$

Alice	Adversary	$oldsymbol{B}ob$
$\boldsymbol{g}$	$oldsymbol{g}$	$\boldsymbol{g}$
$\boldsymbol{a}$		$\boldsymbol{b}$
$g^a$		$\boldsymbol{g}^b$

- Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).
- Goal: establish shared secret between A lice and B ob.
- Idea:  $g^{ab}$  hard to compute from  $g^a$  and  $g^b$

Alice	Adversary	B o b
$\boldsymbol{g}$	$oldsymbol{g}$	g
$\boldsymbol{a}$	$g^a_{}$	<i>b</i>
$oldsymbol{g}^b$	$oldsymbol{g}^o$	$oldsymbol{g^a}$

- Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).
- Goal: establish shared secret between A lice and B ob.
- Idea:  $g^{ab}$  hard to compute from  $g^a$  and  $g^b$

Alice

**Ad**versary

 $B \mathsf{ob}$ 

$$egin{array}{c} g \ g^b \end{array}$$

- Let g be a (public) generator of a group (say  $(\mathbb{Z}/p\mathbb{Z})^{\times}$ ).
- Goal: establish shared secret between A lice and B ob.
- Idea:  $oldsymbol{g^{ab}}$  hard to compute from  $oldsymbol{g^a}$  and  $oldsymbol{g^b}$

Alice

**Ad**versary

 $B \mathsf{ob}$ 

$$egin{array}{c} g^a \ g^b \ g^{ab} \end{array}$$

$$egin{aligned} egin{aligned} egin{aligned\\ egin{aligned} egi$$

• An adversary observing communication must solve the discrete log problem to recover  $g^{ab}$  from intercepted information.

*A*lice

*Ad*versary

 $B\mathsf{ob}$ 

$$egin{aligned} g^a \ g^b \ g^{ab} \end{aligned}$$

$$egin{aligned} egin{aligned} egin{aligned\\ egin{aligned} egi$$

- An adversary observing communication must solve the discrete log problem to recover  $g^{ab}$  from intercepted information.
- We assume discrete log is hard...

- An adversary observing communication must solve the discrete log problem to recover  $g^{ab}$  from intercepted information.
- We assume discrete log is hard...
- Under this assumption: is it hard to gain even partial information?

- An adversary observing communication must solve the discrete log problem to recover  $g^{ab}$  from intercepted information.
- We assume discrete log is hard...
- Under this assumption: is it hard to gain even partial information?
- Yes!

- An adversary observing communication must solve the discrete log problem to recover  $g^{ab}$  from intercepted information.
- We assume discrete log is hard...
- Under this assumption: is it hard to gain even partial information?
- Yes! Idea: compute  $g^{ab}$  given a bit-leaking oracle.

$$\bullet \ \mathrm{DH}(g^x,g^y) = g^{xy}$$

- $\bullet \ \mathrm{DH}(g^x,g^y) = g^{xy}$
- Suppose  $A(g^x, g^y) = \mathrm{MSB}_k(\mathrm{DH}(g^x, g^y))$ .

- $ullet \mathrm{DH}(g^x,g^y)=g^{xy}$
- Suppose  $A(g^x, g^y) = \mathrm{MSB}_k(\mathrm{DH}(g^x, g^y))$ .
  - Roughly,  $MSB_k$  gives k most significant bits.

- $ullet \mathrm{DH}(g^x,g^y)=g^{xy}$
- Suppose  $A(g^x, g^y) = \mathrm{MSB}_k(\mathrm{DH}(g^x, g^y)).$ 
  - Roughly,  $MSB_k$  gives k most significant bits.
- $ullet A(g^{oldsymbol{a}+x},g^b) = \mathrm{MSB}_k(\mathrm{DH}(g^{oldsymbol{a}+x},g^b))$

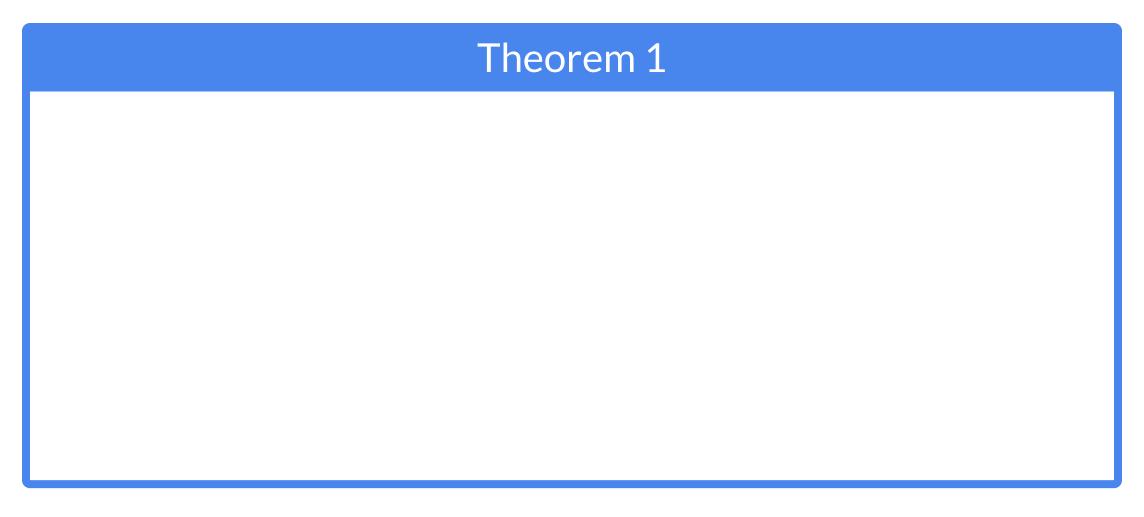
- ullet  $\mathrm{DH}(g^x,g^y)=g^{xy}$
- Suppose  $A(g^x, g^y) = \mathrm{MSB}_k(\mathrm{DH}(g^x, g^y))$ .
  - lacktriangle Roughly,  $\mathrm{MSB}_k$  gives k most significant bits.
- $egin{aligned} ullet & oldsymbol{A}(oldsymbol{g^{a+x}},oldsymbol{g^b}) = \mathrm{MSB}_k(\mathrm{DH}(oldsymbol{g^{a+x}},oldsymbol{g^b})) \ &= \mathrm{MSB}_k((oldsymbol{g^{ab}})\cdot(oldsymbol{g^b})^x) \end{aligned}$

- $\bullet \ \mathrm{DH}(g^x,g^y) = g^{xy}$
- Suppose  $A(g^x, g^y) = \mathrm{MSB}_k(\mathrm{DH}(g^x, g^y)).$ 
  - lacktriangle Roughly,  $\mathrm{MSB}_k$  gives k most significant bits.
- $egin{align} ullet & A(g^{a+x},g^b) = \mathrm{MSB}_k(\mathrm{DH}(g^{a+x},g^b)) \ &= \mathrm{MSB}_k((g^{ab})\cdot(g^b)^x) \ &= \mathrm{MSB}_k(lpha h^x) \end{split}$

- $\bullet \ \mathrm{DH}(g^x,g^y) = g^{xy}$
- Suppose  $A(g^x, g^y) = \mathrm{MSB}_k(\mathrm{DH}(g^x, g^y))$ .
  - Roughly,  $MSB_k$  gives k most significant bits.
- $egin{aligned} ullet & A(g^{a+x},g^b) = \mathrm{MSB}_k(\mathrm{DH}(g^{a+x},g^b)) \ &= \mathrm{MSB}_k((g^{ab})\cdot(g^b)^x) \ &= \mathrm{MSB}_k(oldsymbol{lpha}h^x) \ &= \mathrm{MSB}_k(oldsymbol{lpha}t) \end{aligned}$

- $ullet \mathrm{DH}(g^x,g^y)=g^{xy}$
- Suppose  $A(g^x, g^y) = \mathrm{MSB}_k(\mathrm{DH}(g^x, g^y)).$ 
  - Roughly,  $MSB_k$  gives k most significant bits.
- $\begin{array}{l} \bullet \ \ A(g^{a+x},g^b) = \mathrm{MSB}_k(\mathrm{DH}(g^{a+x},g^b)) \\ = \mathrm{MSB}_k((g^{ab}) \cdot (g^b)^x) \\ = \mathrm{MSB}_k(\alpha h^x) \\ = \mathrm{MSB}_k(\alpha t) \\ = : \mathcal{O}(t) \end{array}$

- ullet  $\mathrm{DH}(g^x,g^y)=g^{xy}$
- Suppose  $A(g^x, g^y) = \mathrm{MSB}_k(\mathrm{DH}(g^x, g^y))$ .
  - Roughly,  $MSB_k$  gives k most significant bits.
- $\begin{array}{l} \bullet \ \ A(g^{a+x},g^b) = \mathrm{MSB}_k(\mathrm{DH}(g^{a+x},g^b)) \\ = \mathrm{MSB}_k((g^{ab}) \cdot (g^b)^x) \\ = \mathrm{MSB}_k(\alpha h^x) \\ = \mathrm{MSB}_k(\alpha t) \\ = : \mathcal{O}(t) \end{array}$
- Main theorem: we can recover  $\alpha$  given  $\mathcal{O}$ .



• Let  $\alpha$  be hidden.

• Let  $\alpha$  be hidden. Let  $\mathcal{O}(t) = \mathrm{MSB}_k(\alpha t \bmod p)$ .

- Let  $\alpha$  be hidden. Let  $\mathcal{O}(t) = \mathrm{MSB}_k(\alpha t \bmod p)$ .
- Let  $t_1,\ldots,t_d$  be sampled uniformly from  $(\mathbb{Z}/p\mathbb{Z})^{ imes}$ .

- Let  $\alpha$  be hidden. Let  $\mathcal{O}(t) = \mathrm{MSB}_k(\alpha t \bmod p)$ .
- Let  $t_1,\ldots,t_d$  be sampled uniformly from  $(\mathbb{Z}/p\mathbb{Z})^{ imes}$ .

There exists an adversary A such that

- Let  $\alpha$  be hidden. Let  $\mathcal{O}(t) = \mathrm{MSB}_k(\alpha t \bmod p)$ .
- Let  $t_1,\ldots,t_d$  be sampled uniformly from  $(\mathbb{Z}/p\mathbb{Z})^{ imes}$ .

There exists an adversary A such that

$$\Pr[\mathcal{A}ig((t_1,\mathcal{O}(t_1)),\ldots,(t_d,\mathcal{O}(t_d))ig)=\pmb{lpha}]\geq 1/2.$$

- Let  $\alpha$  be hidden. Let  $\mathcal{O}(t) = \mathrm{MSB}_k(\alpha t \bmod p)$ .
- Let  $t_1,\ldots,t_d$  be sampled uniformly from  $(\mathbb{Z}/p\mathbb{Z})^{ imes}$ .

There exists an adversary A such that

$$\Pr[\mathcal{A}ig((t_1,\mathcal{O}(t_1)),\ldots,(t_d,\mathcal{O}(t_d))ig)=\pmb{lpha}]\geq 1/2.$$

Can recover  $\alpha$  given access to random oracle  $\mathcal O$  in poly time.

- Let  $\alpha$  be hidden. Let  $\mathcal{O}(t) = \mathrm{MSB}_k(\alpha t \bmod p)$ .
- Let  $t_1,\ldots,t_d$  be sampled uniformly from  $(\mathbb{Z}/p\mathbb{Z})^{ imes}$ .

There exists an adversary A such that

$$\Pr[\mathcal{A}ig((t_1,\mathcal{O}(t_1)),\ldots,(t_d,\mathcal{O}(t_d))ig)=\pmb{lpha}]\geq 1/2.$$

Can recover  $\alpha$  given access to random oracle  $\mathcal O$  in poly time.

• 
$$961 < n \coloneqq \lceil \log(p) \rceil$$

• 
$$d \coloneqq 2 \cdot \lceil \sqrt{n} \rceil$$

• 
$$k \coloneqq \lceil \sqrt{n} \rceil + \lceil \log(n) \rceil$$

$$ullet u\coloneqq (\mathcal{O}(t_1),\ldots,\mathcal{O}(t_d),0)$$

$$ullet u\coloneqq (\mathcal{O}(t_1),\ldots,\mathcal{O}(t_d),0)$$

• Recall 
$$\mathcal{O}(t) = \mathrm{MSB}_k(\alpha t \bmod p)$$
.

- $ullet u\coloneqq (\mathcal{O}(t_1),\ldots,\mathcal{O}(t_d),0)$
- Recall  $\mathcal{O}(t) = \mathrm{MSB}_k(\alpha t \bmod p)$ .
- ullet  $\mathcal{O}(t_i)pprox {f lpha} t_i-mp$  for some m.

- $ullet u\coloneqq (\mathcal{O}(t_1),\ldots,\mathcal{O}(t_d),0)$
- Recall  $\mathcal{O}(t) = \mathrm{MSB}_k(\alpha t \bmod p)$ .
- ullet  $\mathcal{O}(t_i)pprox oldsymbol{lpha} t_i-mp$  for some m.
- Idea: Approximate  $\alpha$  using lattice methods.

$$upprox (lpha t_1-m_1p,\ldots,lpha t_d-m_dp,0)$$

$$upprox (lpha t_1-m_1p,\ldots,lpha t_d-m_dp,0)$$

$$upprox (oldsymbol{lpha} t_1-m_1p,\ldots,oldsymbol{lpha} t_d-m_dp,0)$$

• 
$$x_i = (\underbrace{0, \dots, p, \dots, 0}_{i ext{th component} = p}, 0)$$
 for  $i \leq d$ ;

$$upprox (lpha t_1-m_1p,\ldots,lpha t_d-m_dp,0)$$

• 
$$x_i = (\underbrace{0, \dots, p, \dots, 0}_{i ext{th component} = p}, 0)$$
 for  $i \leq d$ ;

$$ullet x_{d+1} = (t_1, \dots, t_d, 1/p).$$

$$upprox (oldsymbol{lpha} t_1-m_1p,\ldots,oldsymbol{lpha} t_d-m_dp,0)$$

$$L \ni v = (\beta t_1 - b_1 p, \ldots, \beta t_d - b_d p, \beta/p)$$

• 
$$x_i = (\underbrace{0, \dots, p, \dots, 0}, 0)$$
 for  $i \leq d$ ;

$$i$$
th component =  $p$ 

$$ullet x_{d+1} = (t_1, \dots, t_d, 1/p).$$

$$upprox (lpha t_1-m_1p,\ldots,lpha t_d-m_dp,0)$$

$$L \ni v = (\beta t_1 - b_1 p, \ldots, \beta t_d - b_d p, \beta/p)$$

- Build lattice L from basis:
  - $x_i = (\underbrace{0, \dots, p, \dots, 0}_{i ext{th component} = p}, 0)$  for  $i \leq d$ ;
  - $ullet x_{d+1} = (t_1, \dots, t_d, 1/p).$

$$egin{aligned} u &pprox (lpha t_1 - m_1 p, \ldots, lpha t_d - m_d p, 0) \ L &
otag v &= (eta t_1 - b_1 p, \ldots, eta t_d - b_d p, eta/p) \end{aligned}$$

$$egin{aligned} u &pprox (lpha t_1 - m_1 p, \ldots, lpha t_d - m_d p, 0) \ L &
otag v &= (eta t_1 - b_1 p, \ldots, eta t_d - b_d p, eta/p) \end{aligned}$$

If  $|oldsymbol{v}-u|$  is small, then  $eta\equiv \pmb{\alpha}\pmod{p}$  with high probability

• Therefore, find v minimizing |v-u|.

$$egin{aligned} u &pprox (lpha t_1 - m_1 p, \ldots, lpha t_d - m_d p, 0) \ L &
otag v &= (eta t_1 - b_1 p, \ldots, eta t_d - b_d p, eta/p) \end{aligned}$$

- Therefore, find v minimizing |v-u|.
- This is the <u>closest vector problem</u> which is NP-hard...

$$egin{aligned} u &pprox (lpha t_1 - m_1 p, \ldots, lpha t_d - m_d p, 0) \ L &
otag v &= (eta t_1 - b_1 p, \ldots, eta t_d - b_d p, eta/p) \end{aligned}$$

- Therefore, find v minimizing |v-u|.
- This is the <u>closest vector problem</u> which is NP-hard...
- But we can approximate it!

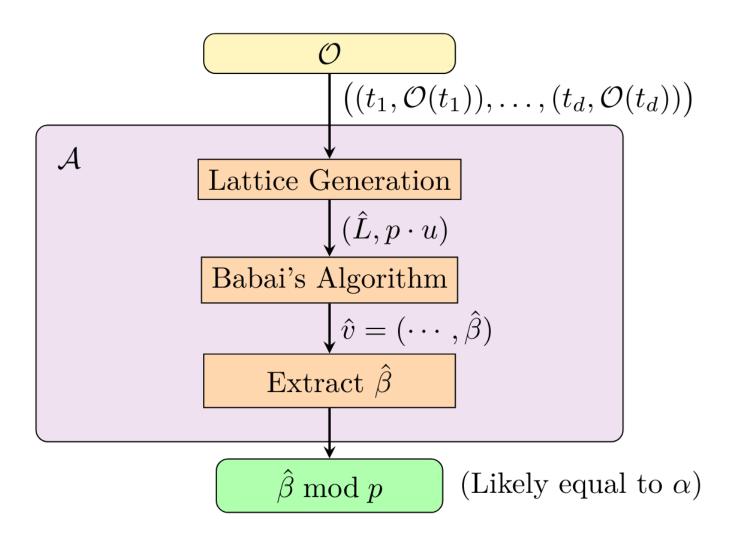
$$egin{aligned} u &pprox (lpha t_1 - m_1 p, \ldots, lpha t_d - m_d p, 0) \ L &
otag v &= (eta t_1 - b_1 p, \ldots, eta t_d - b_d p, eta/p) \end{aligned}$$

- Therefore, find v minimizing |v-u|.
- This is the <u>closest vector problem</u> which is NP-hard...
- But we can approximate it!
  - With <u>Babai's nearest plane algorithm.</u>

$$egin{aligned} u &pprox (lpha t_1 - m_1 p, \ldots, lpha t_d - m_d p, 0) \ L &
otag v &= (eta t_1 - b_1 p, \ldots, eta t_d - b_d p, eta/p) \end{aligned}$$

- Therefore, find  ${m v}$  minimizing  $|{m v}-u|$ .
- This is the <u>closest vector problem</u> which is NP-hard...
- But we can approximate it!
  - With <u>Babai's nearest plane algorithm.</u>
  - ullet Finds  $v\in L$  with |u-v| close to optimal.

# Adversary



Main goal:

With probability at least 1/2, all  $v=(\ldots,\beta/p)\in L$  with  $\beta\not\equiv \alpha\pmod p$  are far from u.

- Main goal: With probability at least 1/2, all  $v=(\ldots,\beta/p)\in L$  with  $\beta\not\equiv \alpha\pmod p$  are far from u.
- Need to formalize **Babai's algorithm**.

- Main goal: With probability at least 1/2, all  $v=(\ldots,\beta/p)\in L$  with  $\beta 
  ot\equiv \alpha \pmod p$  are far from u.
- Need to formalize Babai's algorithm.
- Need formal <u>probabilistic reasoning</u>.

- Main goal: With probability at least 1/2, all  $v=(\ldots,\beta/p)\in L$  with  $\beta 
  ot\equiv \alpha \pmod p$  are far from u.
- Need to formalize **Babai's algorithm**.
- Need formal <u>probabilistic reasoning</u>.
- Need to formalize bounds (e.g. "for large enough n") and make arithmetic precise.

- Main goal: With probability at least 1/2, all  $m{v}=(\dots,\beta/p)\in m{L}$  with  $eta
  ot\equiv \alpha\pmod p$  are far from u.
- Need to formalize Babai's algorithm.
  - We build on existing LLL formalization (many authors including René Thiemann).
- Need formal <u>probabilistic reasoning</u>.
- $\bullet$  Need to formalize bounds (e.g. "for large enough n") and make arithmetic precise.

#### Formalization needs

- Main goal: With probability at least 1/2, all  $v=(\ldots,\beta/p)\in L$  with  $\beta 
  ot\equiv \alpha \pmod p$  are far from u.
- Need to formalize <u>Babai's algorithm.</u>
  - We build on existing LLL formalization (many authors including René Thiemann).
- Need formal <u>probabilistic reasoning</u>.
  - We build on existing PMF library.
- ullet Need to formalize bounds (e.g. "for large enough n") and make arithmetic precise.

#### Formalization needs

- Main goal: With probability at least 1/2, all  $v=(\ldots,\beta/p)\in L$  with  $\beta 
  ot\equiv \alpha \pmod p$  are far from u.
- Need to formalize <u>Babai's algorithm.</u>
  - We build on existing LLL formalization (many authors including René Thiemann).
- Need formal <u>probabilistic reasoning</u>.
  - We build on existing PMF library.
- Need to formalize bounds (e.g. "for large enough n") and make arithmetic precise.
  - Isabelle/HOL automation very valuable.

#### Babai's algorithm bounds:

 We "algebraicize" a geometry proof by Stephens-Davidowitz.

- We "algebraicize" a geometry proof by Stephens-Davidowitz.
- Let  $D\coloneqq\min\{|v-u|:v\in L\}$ .

- We "algebraicize" a geometry proof by Stephens-Davidowitz.
- ullet Let  $D\coloneqq\min\{|v-u|:v\in L\}.$
- Can prove Babai output  $m{v}$  satisfies  $|m{v}-m{u}| \leq 2^{\dim(m{L})/4.6} D$ .

- We "algebraicize" a geometry proof by Stephens-Davidowitz.
- Let  $D\coloneqq\min\{|v-u|:v\in L\}$ .
- ullet Can prove Babai output  $oldsymbol{v}$  satisfies  $|oldsymbol{v}-u|\leq 2^{\dim(oldsymbol{L})/4.6}D.$
- ullet Literature commonly gives  $2^{\dim(L)/2}D$ .

- We "algebraicize" a geometry proof by Stephens-Davidowitz.
- Let  $D \coloneqq \min\{|v u| : v \in L\}$ .
- Can prove Babai output  $m{v}$  satisfies  $|m{v}-u| \leq 2^{\dim(m{L})/4.6}D$ .
- ullet Literature commonly gives  $2^{\dim(oldsymbol{L})/2}D$ .
  - Not strong enough for final HNP result.

- We "algebraicize" a geometry proof by Stephens-Davidowitz.
- Let  $D\coloneqq \min\{|v-u|:v\in L\}$ .
- Can prove Babai output  $m{v}$  satisfies  $|m{v}-m{u}| \leq 2^{\dim(m{L})/4.6} D.$
- Literature commonly gives  $2^{\dim(L)/2}D$ .
  - Not strong enough for final HNP result.
- Boneh and Venkatesan use  $2^{\dim(L)/4}D$ .

- We "algebraicize" a geometry proof by Stephens-Davidowitz.
- Let  $D\coloneqq\min\{|v-u|:v\in L\}$ .
- Can prove Babai output  $m{v}$  satisfies  $|m{v}-m{u}| \leq 2^{\dim(m{L})/4.6}D$ .
- Literature commonly gives  $2^{\dim(L)/2}D$ .
  - Not strong enough for final HNP result.
- Boneh and Venkatesan use  $2^{\dim(L)/4}D$ .
- We formalize  $\sqrt{\dim(L)}(4/3)^{\dim(L)/2}D$ , which suffices.

• Informally:  $D\coloneqq\min\{|v-u|:v\in L\}$  is clearly w.d.

- Informally:  $D \coloneqq \min\{|v-u| : v \in L\}$  is clearly w.d.
- Formally: Isabelle/HOL min only for finite sets.

- Informally:  $D\coloneqq\min\{|v-u|:v\in L\}$  is clearly w.d.
- Formally: Isabelle/HOL min only for finite sets.
- We use inf instead:  $D\coloneqq\inf\{|v-u|:v\in L\}$ ...

- Informally:  $D\coloneqq\min\{|v-u|:v\in L\}$  is clearly w.d.
- Formally: Isabelle/HOL min only for finite sets.
- We use inf instead:  $D\coloneqq\inf\{|v-u|:v\in L\}$ ...
- But still need  $v_0 \in L$  witnessing  $|v_0 u| = D$ .

- Informally:  $D\coloneqq\min\{|v-u|:v\in L\}$  is clearly w.d.
- Formally: Isabelle/HOL min only for finite sets.
- We use inf instead:  $D\coloneqq\inf\{|v-u|:v\in L\}$ ...
- But still need  $v_0 \in L$  witnessing  $|v_0 u| = D$ .
- Intuitively true (lattice is discrete); annoying to formalize

- Informally:  $D\coloneqq\min\{|v-u|:v\in L\}$  is clearly w.d.
- Formally: Isabelle/HOL min only for finite sets.
- We use inf instead:  $D\coloneqq\inf\{|v-u|:v\in L\}$ ...
- But still need  $v_0 \in L$  witnessing  $|v_0 u| = D$ .
- Intuitively true (lattice is discrete); annoying to formalize
- Instead, we obtain  $v_{\epsilon}$  where  $|v_{\epsilon} u| \leq (1 + \epsilon)D$ .

- Informally:  $D\coloneqq\min\{|v-u|:v\in L\}$  is clearly w.d.
- Formally: Isabelle/HOL min only for finite sets.
- ullet We use  $\inf$  instead:  $D\coloneqq\inf\{|v-u|:v\in L\}$ ...
- But still need  $v_0 \in L$  witnessing  $|v_0 u| = D$ .
- Intuitively true (lattice is discrete); annoying to formalize
- Instead, we obtain  $v_{\epsilon}$  where  $|v_{\epsilon} u| \leq (1 + \epsilon)D$ .
  - For arbitrary  $\epsilon > 0$ .

- Informally:  $D \coloneqq \min\{|v-u| : v \in L\}$  is clearly w.d.
- Formally: Isabelle/HOL min only for finite sets.
- We use inf instead:  $D\coloneqq\inf\{|v-u|:v\in L\}$ ...
- But still need  $v_0 \in L$  witnessing  $|v_0 u| = D$ .
- Intuitively true (lattice is discrete); annoying to formalize
- Instead, we obtain  $v_{\epsilon}$  where  $|v_{\epsilon} u| \leq (1 + \epsilon)D$ .
  - For arbitrary  $\epsilon > 0$ .
- $(1 + \epsilon)$  trickles down to final bound.

- Informally:  $D \coloneqq \min\{|v-u| : v \in L\}$  is clearly w.d.
- Formally: Isabelle/HOL min only for finite sets.
- We use inf instead:  $D\coloneqq\inf\{|v-u|:v\in L\}$ ...
- But still need  $v_0 \in L$  witnessing  $|v_0 u| = D$ .
- Intuitively true (lattice is discrete); annoying to formalize
- Instead, we obtain  $v_{\epsilon}$  where  $|v_{\epsilon} u| \leq (1 + \epsilon)D$ .
  - For arbitrary  $\epsilon > 0$ .
- $(1 + \epsilon)$  trickles down to final bound.
  - Can be removed since  $\epsilon>0$  is arbitrary.

ullet In literature, Babai's algorithm applies to  $L\subseteq \mathbb{R}^n$ 

- ullet In literature, Babai's algorithm applies to  $L\subseteq \mathbb{R}^n$ 
  - LLL only formalized for  $L \subseteq \mathbb{Z}^n$ .

- ullet In literature, Babai's algorithm applies to  $L\subseteq \mathbb{R}^n$ 
  - LLL only formalized for  $L \subseteq \mathbb{Z}^n$ .
  - Our formal Babai's algorithm is also restricted to  $\mathbb{Z}^n$ .

- ullet In literature, Babai's algorithm applies to  $L\subseteq \mathbb{R}^n$ 
  - LLL only formalized for  $L \subseteq \mathbb{Z}^n$ .
  - Our formal Babai's algorithm is also restricted to  $\mathbb{Z}^n$ .
  - lacksquare Can scale  $L\subseteq \mathbb{Q}^n$  to  $L'\in \mathbb{Z}^n$ .

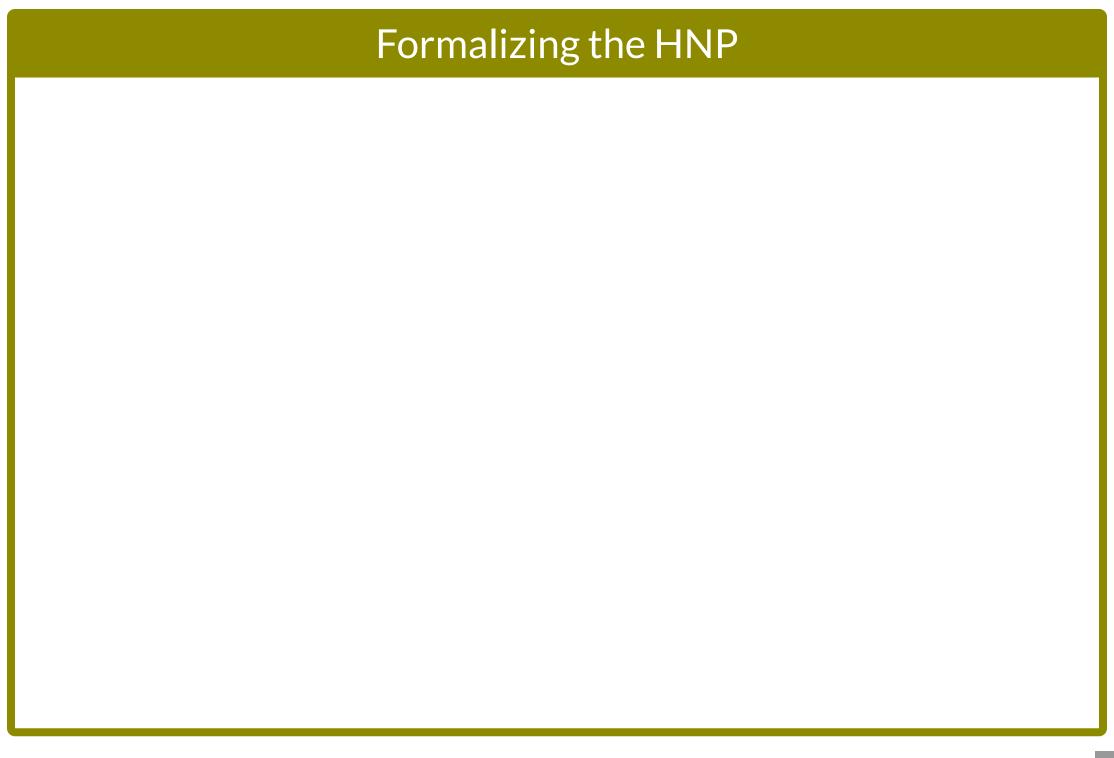
- ullet In literature, Babai's algorithm applies to  $L\subseteq \mathbb{R}^n$ 
  - LLL only formalized for  $L \subseteq \mathbb{Z}^n$ .
  - Our formal Babai's algorithm is also restricted to  $\mathbb{Z}^n$ .
  - lacksquare Can scale  $L\subseteq \mathbb{Q}^n$  to  $L'\in \mathbb{Z}^n$ .
  - Target vector u can be in  $\mathbb{Q}^n$ .

- ullet In literature, Babai's algorithm applies to  $L\subseteq \mathbb{R}^n$ 
  - LLL only formalized for  $L \subseteq \mathbb{Z}^n$ .
  - Our formal Babai's algorithm is also restricted to  $\mathbb{Z}^n$ .
  - lacksquare Can scale  $L\subseteq \mathbb{Q}^n$  to  $L'\in \mathbb{Z}^n$ .
  - Target vector u can be in  $\mathbb{Q}^n$ .
- In literature, can have  $d \leq n$ .

- ullet In literature, Babai's algorithm applies to  $L\subseteq \mathbb{R}^n$ 
  - LLL only formalized for  $L \subseteq \mathbb{Z}^n$ .
  - Our formal Babai's algorithm is also restricted to  $\mathbb{Z}^n$ .
  - lacksquare Can scale  $L\subseteq \mathbb{Q}^n$  to  $L'\in \mathbb{Z}^n$ .
  - Target vector u can be in  $\mathbb{Q}^n$ .
- In literature, can have  $d \leq n$ .
  - Our proof requires an invertible (thus square) change-ofbasis matrix.

- ullet In literature, Babai's algorithm applies to  $L\subseteq \mathbb{R}^n$ 
  - LLL only formalized for  $L \subseteq \mathbb{Z}^n$ .
  - Our formal Babai's algorithm is also restricted to  $\mathbb{Z}^n$ .
  - lacksquare Can scale  $L\subseteq \mathbb{Q}^n$  to  $L'\in \mathbb{Z}^n$ .
  - Target vector u can be in  $\mathbb{Q}^n$ .
- In literature, can have  $d \leq n$ .
  - Our proof requires an invertible (thus square) change-ofbasis matrix.
  - Thus, we restrict to d = n.

- ullet In literature, Babai's algorithm applies to  $L\subseteq \mathbb{R}^n$ 
  - LLL only formalized for  $L \subseteq \mathbb{Z}^n$ .
  - Our formal Babai's algorithm is also restricted to  $\mathbb{Z}^n$ .
  - lacksquare Can scale  $L\subseteq \mathbb{Q}^n$  to  $L'\in \mathbb{Z}^n$ .
  - Target vector u can be in  $\mathbb{Q}^n$ .
- In literature, can have  $d \leq n$ .
  - Our proof requires an invertible (thus square) change-ofbasis matrix.
  - Thus, we restrict to d = n.
- Like LLL, our formal Babai's algorithm is executable.



*Proof.* Let  $\beta, \gamma$  be two integers. Define the modular distance between  $\beta$  and  $\gamma$  as

$$\operatorname{dist}_p(eta,\gamma) = \min_{b \in \mathbb{Z}} |eta - \gamma - bp|$$

For example,  $\operatorname{dist}_p(1, p) = 1$ . Suppose  $\beta \neq \gamma \pmod{p}$  and they are both integers in the range [1, p-1]. Define

$$A = \Pr_{t} \left[ \operatorname{dist}_{p}(\beta t, \gamma t) > 2p/2^{\mu} \right]$$

where t is an integer chosen uniformly at random in [1, p-1]. Then

$$A = \Pr_t \left[ \frac{2p}{2^\mu} < (\beta - \gamma)t \bmod p < p - \frac{2p}{2^\mu} \right] = \frac{\left\lfloor p - \frac{2p}{2^\mu} \right\rfloor - \left\lceil \frac{2p}{2^\mu} \right\rceil}{p-1} \ge 1 - \frac{5}{2^\mu}$$

This follows since for every  $x \in [\frac{2p}{2^{\mu}}, p - \frac{2p}{2^{\mu}}]$  there exists a t such that  $(\beta - \gamma)t = x \pmod{p}$ . In general, a lattice point v has the form

$$v = (\beta t_1 - b_1 p, \ \beta t_2 - b_2 p, \dots, \beta t_d - b_d p, \ \beta/p)$$

for some integers  $\beta, b_1, \ldots, b_d$ . Suppose  $||v-u|| < p/2^{\mu}$ . We show that with probability at least  $\frac{1}{2}$  the vector v satisfies  $\beta \equiv \alpha \pmod{p}$  and  $\beta t_i - b_i p \in [0, p]$  for all i. Observe that if  $\beta = \alpha \pmod{p}$ , then  $\beta t_i - b_i p \in [0, p]$  for all i. Otherwise at least one of the components of v-u is bigger in absolute value than  $p/2^{\mu}$ .

Now, suppose  $\beta \neq \alpha \pmod{p}$ . Then

$$\begin{split} \Pr\left[ \| \ v - u \ \| > p/2^{\mu} \right] & \geq \Pr\left[ \exists i \ : \ \mathrm{dist}_p(t_i\beta, a_i) > p/2^{\mu} \right] \geq \\ \Pr\left[ \exists i \ : \ \mathrm{dist}_p(t_i\beta, t_i\alpha) > 2p/2^{\mu} \right] & = 1 - (1 - A)^d \geq 1 - \left( \frac{5}{2^{\mu}} \right)^d \end{split}$$

Since  $\beta \neq \alpha \pmod{p}$  there are exactly p-1 values of  $\beta \mod p$  to consider. Hence, the probability there exists a lattice point contradicting the statement of the theorem is at most

$$(p-1)\cdot \left(\frac{5}{2^{\mu}}\right)^d < \frac{1}{2}$$

The last inequality follows from the fact that  $d(\mu - \log_2 5) > \log p + 1$ . This completes the proof of the theorem.

Informal proof: one-page

<u>Informal</u> proof: one-page

Formal proof: 4000 LoC

Informal proof: one-page

Formal proof: 4000 LoC

We clarify:

Informal proof: one-page

Formal proof: 4000 LoC

We clarify:

• proof steps,

Informal proof: one-page

Formal proof: 4000 LoC

We clarify:

- proof steps,
- definitions,

Informal proof: one-page

Formal proof: 4000 LoC

We clarify:

- proof steps,
- definitions,
- bounds and arithmetic.

# Formalizing the HNP Counting is hard

Counting is hard

• Original paper:

#### Counting is hard

Original paper:

$$egin{align} lacksquare |\{t\in (\mathbb{Z}/p\mathbb{Z})^ imes : \operatorname{dist}_p(eta t, oldsymbollpha t) \leq rac{2p}{2^\mu}\}| \ &= \left\lfloor p - rac{2p}{2^\mu} 
ight
floor - \left\lceil rac{2p}{2^\mu} 
ight
ceil \ &\geq (p-1)(1-rac{5}{2^\mu}). \end{split}$$

- Original paper:
  - $egin{align} lacksquare |\{t\in (\mathbb{Z}/p\mathbb{Z})^ imes : \operatorname{dist}_p(eta t, oldsymbollpha t) \leq rac{2p}{2^\mu}\}| \ &= \left\lfloor p rac{2p}{2^\mu} 
    ight
    floor \left\lceil rac{2p}{2^\mu} 
    ight
    ceil \ &\geq (p-1)(1-rac{5}{2^\mu}). \end{split}$
  - Edge cases and ceil/floor arithmetic difficult to formalize.

- Original paper:
  - $egin{align} lacksquare |\{t\in (\mathbb{Z}/p\mathbb{Z})^ imes : \operatorname{dist}_p(eta t, oldsymbollpha t) \leq rac{2p}{2^\mu}\}| \ &= \left\lfloor p rac{2p}{2^\mu} 
    ight
    floor \left\lceil rac{2p}{2^\mu} 
    ight
    ceil \ &\geq (p-1)(1-rac{5}{2^\mu}). \end{split}$
  - Edge cases and ceil/floor arithmetic difficult to formalize.
- Our approach:

- Original paper:
  - $egin{align} lacksquare |\{t\in (\mathbb{Z}/p\mathbb{Z})^ imes : \operatorname{dist}_p(eta t, oldsymbollpha t) \leq rac{2p}{2^\mu}\}| \ &= \left\lfloor p rac{2p}{2^\mu} 
    ight
    floor \left\lceil rac{2p}{2^\mu} 
    ight
    ceil \ &\geq (p-1)(1-rac{5}{2^\mu}). \end{split}$
  - Edge cases and ceil/floor arithmetic difficult to formalize.
- Our approach:
  - $ullet |\{t\in (\mathbb{Z}/p\mathbb{Z})^ imes : \operatorname{dist}_p(eta t, oldsymbollpha t) \leq B\}| \leq 2B.$

- Original paper:
  - $egin{align} lacksquare |\{t\in (\mathbb{Z}/p\mathbb{Z})^ imes : \operatorname{dist}_p(eta t, oldsymbollpha t) \leq rac{2p}{2^\mu}\}| \ &= \left\lfloor p rac{2p}{2^\mu} 
    ight
    floor \left\lceil rac{2p}{2^\mu} 
    ight
    ceil \ &\geq (p-1)(1-rac{5}{2^\mu}). \end{split}$
  - Edge cases and ceil/floor arithmetic difficult to formalize.
- Our approach:
  - $ullet |\{t\in (\mathbb{Z}/p\mathbb{Z})^ imes : \operatorname{dist}_p(eta t, oldsymbollpha t) \leq B\}| \leq 2B.$
  - Weaker, but sufficient.

- Original paper:
  - $egin{align} lacksquare |\{t\in (\mathbb{Z}/p\mathbb{Z})^ imes : \operatorname{dist}_p(eta t, oldsymbollpha t) \leq rac{2p}{2^\mu}\}| \ &= \left\lfloor p rac{2p}{2^\mu} 
    ight
    floor \left\lceil rac{2p}{2^\mu} 
    ight
    ceil \ &\geq (p-1)(1-rac{5}{2^\mu}). \end{split}$
  - Edge cases and ceil/floor arithmetic difficult to formalize.
- Our approach:
  - $ullet |\{t\in (\mathbb{Z}/p\mathbb{Z})^ imes : \operatorname{dist}_p(eta t, oldsymbollpha t) \leq B\}| \leq 2B.$
  - Weaker, but sufficient.
  - Simple argument, simple to formalize

Clarifying  $\overline{\mathrm{MSB}}_k$  definition

• Original paper:

# Clarifying $ext{MSB}_k$ definition

- Original paper:
  - ullet First,  $\mathrm{MSB}_k(x)$  is the unique  $t\in\mathbb{Z}$  such that

$$(t-1)\cdot rac{p}{2^k} \leq x < t\cdot rac{p}{2^k}.$$

# Clarifying $ext{MSB}_k$ definition

- Original paper:
  - lacksquare First,  $\mathrm{MSB}_k(x)$  is the unique  $t\in\mathbb{Z}$  such that

$$(t-1)\cdot rac{p}{2^k} \leq x < t\cdot rac{p}{2^k}.$$

 $\circ$  Like like a right-shift (by n-k bits).

- Original paper:
  - lacksquare First,  $\mathrm{MSB}_k(x)$  is the unique  $t\in\mathbb{Z}$  such that

$$(t-1)\cdot rac{p}{2^k} \leq x < t\cdot rac{p}{2^k}.$$

- $\circ$  Like like a right-shift (by n-k bits).
- Actually, assume  $\mathrm{MSB}_k(x)$  satisfies

$$|x-\mathrm{MSB}_k(x)|<rac{p}{2^{k+1}}.$$

# Clarifying $\overline{ ext{MSB}}_k$ definition

- Original paper:
  - lacksquare First,  $\mathrm{MSB}_k(x)$  is the unique  $t\in\mathbb{Z}$  such that

$$(t-1)\cdot rac{p}{2^k} \leq x < t\cdot rac{p}{2^k}.$$

- $\circ$  Like like a right-shift (by n-k bits).
- Actually, assume  $\mathrm{MSB}_k(x)$  satisfies

$$|x-\mathrm{MSB}_k(x)|<rac{p}{2^{k+1}}.$$

Like like a right-then-left-shift.

- Original paper:
  - ullet First,  $\mathrm{MSB}_k(x)$  is the unique  $t\in\mathbb{Z}$  such that

$$(t-1)\cdot rac{p}{2^k} \leq x < t\cdot rac{p}{2^k}.$$

- $\circ$  Like like a right-shift (by n-k bits).
- Actually, assume  $\mathrm{MSB}_k(x)$  satisfies

$$|x-\mathrm{MSB}_k(x)|<rac{p}{2^{k+1}}.$$

- Like like a right-then-left-shift.
- In fact, only need  $\frac{p}{2^k}$ .

# Clarifying $\overline{ ext{MSB}}_k$ definition

- Original paper:
  - lacksquare First,  $\mathrm{MSB}_k(x)$  is the unique  $t\in\mathbb{Z}$  such that

$$(t-1)\cdot rac{p}{2^k} \leq x < t\cdot rac{p}{2^k}.$$

• Actually, assume  $\mathrm{MSB}_k(x)$  satisfies

$$|x-\mathrm{MSB}_k(x)|<rac{p}{2^{k+1}}.$$

- In fact, only need  $\frac{p}{2^k}$ .
- Our approach:
  - Work in Isabelle locale fixing  $ext{MSB}_k$  operator and assuming  $|x- ext{MSB}_k(x)|<rac{p}{2^k}.$

- Our approach:
  - lacksquare Work in Isabelle locale fixing  $\mathrm{MSB}_k$  operator and assuming  $|x-\mathrm{MSB}_k(x)|<rac{p}{2^k}.$

- Our approach:
  - lacksquare Work in Isabelle locale fixing  $\mathrm{MSB}_k$  operator and assuming  $|x-\mathrm{MSB}_k(x)|<rac{p}{2^k}.$
  - Instantiate locale with original  $MSB_k$  definition,

- Our approach:
  - Work in Isabelle locale fixing  $ext{MSB}_k$  operator and assuming  $|x- ext{MSB}_k(x)|<rac{p}{2^k}.$
  - Instantiate locale with original  $MSB_k$  definition,
  - as well as simple "right-then-left-shift" definition.

# Probability helper lemmas

• In Isabelle/HOL lib: PMF monad with "do" notation.

- In Isabelle/HOL lib: PMF monad with "do" notation.
- We formulate cryptographic "game" as probabilistic algorithm.

- In Isabelle/HOL lib: PMF monad with "do" notation.
- We formulate cryptographic "game" as probabilistic algorithm.

```
definition game :: "((nat \times nat) list \Rightarrow nat) \Rightarrow bool pmf" where "game \mathcal{A}' = do {
   ts \leftarrow replicate_pmf d (pmf_of_set {1..<p});
   return_pmf (\alpha = \mathcal{A}' (map (\lambdat. (t, \mathcal{O} t)) ts))
}"
```

- In Isabelle/HOL lib: PMF monad with "do" notation.
- We often use pattern:

```
do \{x \leftarrow p; return_pmf (P x)\}
```

#### Probability helper lemmas

- In Isabelle/HOL lib: PMF monad with "do" notation.
- We often use pattern:

```
do \{x \leftarrow p; return_pmf (P x)\}
```

• We prove lemmas to reason about these expressions.

- In Isabelle/HOL lib: PMF monad with "do" notation.
- We often use pattern:

```
do \{x \leftarrow p; return_pmf (P x)\}
```

- We prove lemmas to reason about these expressions.
  - We lift existing measure-theoretic lemmas to this level of abstraction.

- In Isabelle/HOL lib: PMF monad with "do" notation.
- We often use pattern:

```
do \{x \leftarrow p; return_pmf (P x)\}
```

- We prove lemmas to reason about these expressions.
  - We lift existing measure-theoretic lemmas to this level of abstraction.
- Helper lemmas aid expressivity

- In Isabelle/HOL lib: PMF monad with "do" notation.
- We often use pattern:

```
do \{x \leftarrow p; return_pmf (P x)\}
```

- We prove lemmas to reason about these expressions.
  - We lift existing measure-theoretic lemmas to this level of abstraction.
- Helper lemmas aid expressivity and improve Sledgehammer performance.

# Formalizing the HNP Hiding $\alpha$ in locale

Hiding  $\alpha$  in locale

•  $\alpha$  fixed in locale.

- $\alpha$  fixed in locale.
- Adversary defined as function in locale.

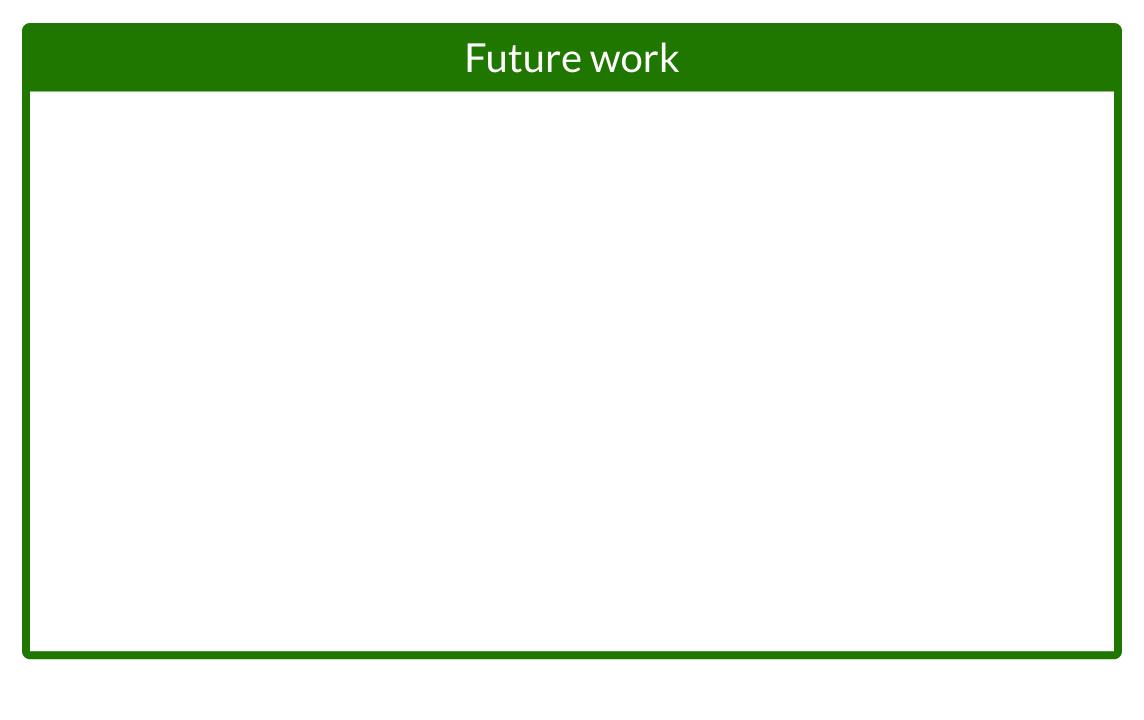
- $\alpha$  fixed in locale.
- Adversary defined as function in locale.
- How to ensure  $\alpha$  is "hidden" from adversary?

- $\alpha$  fixed in locale.
- Adversary defined as function in locale.
- How to ensure  $\alpha$  is "hidden" from adversary?
- Can manually inspect that adversary does not use  $\alpha$ .

- $\alpha$  fixed in locale.
- Adversary defined as function in locale.
- How to ensure  $\alpha$  is "hidden" from adversary?
- Can manually inspect that adversary does not use  $\alpha$ .
  - Not satisfying; not in spirit of formal verification.

- $\alpha$  fixed in locale.
- Adversary defined as function in locale.
- How to ensure  $\alpha$  is "hidden" from adversary?
- Can manually inspect that adversary does not use  $\alpha$ .
  - Not satisfying; not in spirit of formal verification.
- Instead, we use locale hierarchy; define adversary before  $\alpha$  is fixed.

- $\alpha$  fixed in locale.
- Adversary defined as function in locale.
- How to ensure  $\alpha$  is "hidden" from adversary?
- Can manually inspect that adversary does not use  $\alpha$ .
  - Not satisfying; not in spirit of formal verification.
- Instead, we use locale hierarchy; define adversary before  $\alpha$  is fixed.
  - Simple, but streamlines manual verification.



• Explore further library and automation support for gamebased and probabilistic reasoning.

- Explore further library and automation support for gamebased and probabilistic reasoning.
- Other hidden number problems:

- Explore further library and automation support for gamebased and probabilistic reasoning.
- Other hidden number problems:
  - Elliptic curve HNP
  - Extended HNP
  - Modular inverse HNP
  - ... many more!

- Explore further library and automation support for gamebased and probabilistic reasoning.
- Other hidden number problems:
  - Elliptic curve HNP
  - Extended HNP
  - Modular inverse HNP
  - ... many more!
- Formalize time complexity of adversary

- Explore further library and automation support for gamebased and probabilistic reasoning.
- Other hidden number problems:
  - Elliptic curve HNP
  - Extended HNP
  - Modular inverse HNP
  - ... many more!
- Formalize time complexity of adversary
  - Need to formalize complexity of Babai.

- Explore further library and automation support for gamebased and probabilistic reasoning.
- Other hidden number problems:
  - Elliptic curve HNP
  - Extended HNP
  - Modular inverse HNP
  - ... many more!
- Formalize time complexity of adversary
  - Need to formalize complexity of Babai.
  - Luckily, LLL complexity is formalized.